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CONTRACT NUMBER DAMD17-96-C-6126

TITLE: Signal Enhancement Ratios (SERs) in Breast Carcinomas Measured by 3D Contrast-MRI and Verified by Histopathology

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REPORT DATE: October 1997

TYPE OF REPORT: Annual

PREPARED FOR: Commander

U.S. Army Medical Research and Materiel Command Fort Detrick, Frederick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for public release;

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REPORT DOCUMENTATION PAGE

Form Approved
OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, Including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 elerson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.

1. AGENCY USE ONLY (Leave blank)	2. REPORT DATE October 1997	3. REPORT TYPE AND Annual (27 Sep			
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11. SUPPLEMENTARY NOTES				·	
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12a. DISTRIBUTION / AVAILABILITY	STATEMENT		12b. DIS	TRIBUTION CODE	
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13. ABSTRACT (Maximum 200					
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14. SUBJECT TERMS Breast Ca	ancer			15. NUMBER OF PAGES	
magnetic resonance imaging (MRI), high resolution, contrast dynamics			ļ	12	
histopathology, local staging, angiogenesis				16. PRICE CODE	
17. SECURITY CLASSIFICATION 18	8. SECURITY CLASSIFICATION	19. SECURITY CLASSIFI	CATION	20. LIMITATION OF ABSTRACT	
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Unclassified

Unclassified

Unlimited

FOREWORD

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TABLE OF CONTENTS

Cover	 Page I
Form SF 298	 Page 2
Foreword	 Page 3
Table of Contents	 Page 4
Introduction	 Page 5
Body	 Page 5
Conclusions	 Page 7
References	 Page 8
Appendices	 Page 10

ANNUAL REPORT FOR CONTRACT NUMBER DAMD17-96-C-6126

Principal Investigator:

Nola Hylton, Ph.D., University of California, San Francisco

Grant Title:

Signal Enhancement Ratios (SERs) in Breast Carcinomas Measured by 3D

Contrast-MRI and Verified by Histopathology

INTRODUCTION

Our work in breast MRI has focused on an imaging technique, the triple acquisition rapid gradient echo technique (TARGET), and signal enhancement ratio (SER) analysis method, directed toward defining the extent of malignant lesions in patients with confirmed breast carcinoma. We developed a 3-point contrast-MRI method to maximize anatomic (sensitivity) and biologic (specificity) information in a single exam. Previously reported methods have relied on separate imaging strategies for maximizing sensitivity and specificity¹⁻¹². TARGET acquires one data set at baseline (pre-contrast), S₀; one early post-contrast, S_1 ; and one late post-contrast, S_2 . The SER index, defined as $(S_1 - S_0) / (S_2 - S_0)$, compares early to late enhancement: SER values less than one indicate breast tissue that enhances gradually; SER values equal to one indicate breast tissue enhancement that is stable between the early and late post-contrast time points; SER values greater than one indicate breast tissue demonstrating uptake with contrast washout by the late time point 13-15. In our preliminary data, we presented results in a group of 25 patients with pathology confirmation. MRI correctly identified carcinoma in 21/25 cases using a two-point comparison only: percent enhancement (PE) = $(S_1 - S_0)/S_0 > 80\%$. The one false positive was resolved when SER>1.2 was used as an additional criteria for malignancy. Of particular interest, these preliminary studies also suggested a relationship between SER value and tumor grade in the group of 18 invasive carcinomas.

The focus of this grant is to verify these findings in a larger population of patients with confirmed breast carcinoma, and investigate the potential of SER as a non-invasive prognostic marker.

BODY

Experimental Methods, Assumptions and Procedures: 50 women subjects per year are enrolled in this research protocol and receive one breast MRI exam prior to undergoing surgery. Study eligibility include women with a confirmed breast carcinoma on the basis of fine needle aspiration (FNA), core biopsy, excisional biopsy, or lumpectomy with positive margins. The MRI procedure is performed on a General Electric 1.5 Tesla SIGNA scanner using a bilateral phased-array breast radiofrequency coil. The imaging exam consists of a bilateral, axial T1-weighted, spin echo localization scan, a sagittal, fat-suppressed T2-weighted fast spin echo scan of the symptomatic breast only, and a contrast-enhanced TARGET series of the symptomatic breast only, using a 3D fat-suppressed, fast gradient echo technique: TR = 11 ms, TE = 4.2 ms, 20 degree flip angle, 256 x 192 imaging matrix, 16-18 cm field of view, 60 sections, 2 NEX and no phase wrap option. The scan time for each data acquisition is 5.4 minutes, resulting in a three-point temporal sampling of 0, 2.7 and 8.1 minutes. Gadolinium-DTPA is administered intravenously through an indwelling catheter at a dose of 0.1 mmol/kg body weight, following the first scan of the TARGET series.

Following each patient exam, MRI image data are transferred off-line to a UNIX workstation for processing and analysis. Maximum intensity projections and region-of-interest calculations are performed to measure peak PE and SER values in the area of suspicion based upon the patient's reason for referral. Additional areas of suspicion and incidental MRI findings are also characterized.

<u>Results and Discussion:</u> During Year 1, we refined our patient recruitment and enrollment procedures, hired and trained a study coordinator, developed a more comprehensive patient questionnaire, created a database to track clinical, MRI, mammography and pathology data for all patients in the study, created an image display and analysis program for breast MRI and established tissue tracking and histopathology

procedures for improved correlation accuracy. We enrolled approximately 1-2 patients per week, for a total accrual in Year 1 of 55 patients, which exceeds the target accrual rate for this study.

In a recent report, we presented results in a group of 57 patients with confirmed carcinoma and subsequent surgical pathology confirmation 16 . Carcinoma was correctly identified by MRI in 98% of cases, versus 84% for mammography. True anatomic extent was correctly identified much more often with MRI than with mammography (96% vs. 44%), with the greatest value in cases of multi-focal disease, ductal carcinoma in situ (DCIS), or invasive carcinoma with an extensive intraductal component (EIC). In a subset of 28 patients, SER correlation with microvessel density counts (by CD 34 staining) was highly significant, r = 0.62 (p<0.002). The correlation between SER and grade (by SBR number) was r = 0.59 (p<0.004). SER increased with the grade of tumor, showing greatest separation between tumors of grade 2 and 3. Average SER values were 1.1, 1.25 and 1.56 for tumors of grades 1, 2 and 3 respectively.

For the purposes of analysis, patients from this study were added to the group of patients from studies to look at differences in specificity between a two-time point (standard) and three-time point (SER) method of diagnosis. In an abstract submitted this month to the International Society of Magnetic Resonance in Medicine (ISMRM) entitled "Clinical Evaluation of a Three-Time Point Breast MRI Method", we reported a 33% improvement in specificity using the 3-point method of data acquisition and analysis. If accepted, this paper will be presented at the Sixth Scientific Meeting of the ISMRM to be held in Sidney, Australia in April 1998.

In our Statement of Work, we estimated that Tasks 1-3 under <u>Specific Aim 1</u>, and Task 1 under <u>Specific Aim 2</u>, would be completed in Year 1. These tasks were as follows:

SPECIFIC AIM 1 (Determine the histologic basis for interpreting SER patterns)

Task 1: Develop software to facilitate SER analysis and generation of illustrations and reports for presurgical review.

Task 2: Implement and refine procedures for guiding pathology sectioning

Task 3: Develop software procedures for rapid spatial realignment of MRI data.

SPECIFIC AIM 2 (Investigate the possible prognostic value of SER characteristics)

Task 1: Develop software to facilitate SER analysis and generation of illustrations and reports for presurgical review.

We had made substantial progress in each of these areas. **Specific accomplishments** during the first year have been:

- A <u>study coordinator</u> was hired in April 1997 to coordinate patient studies, create and implement the database for study tracking and analysis.
- A Patient Study Database was designed and created by the study coordinator over a six month period using FileMaker Pro 3.0 software. Currently over 600 fields are included in ten data entry forms. The Tracking Form contains all information relevant to patient tracking and synopsis, including exam information and demographics; clinical history; results of recent diagnostic procedures performed prior to MRI; results of post-MRI surgery and pathology. The Patient Questionnaire form contains information given to us directly by the patient, including family history of breast cancer and medications taken. Four MRI Report Forms are designed to allow direct entry by multiple radiologists at remote sites. Each reader is self-identified as blinded or unblinded to patient outcome. MRI reports include lesion characteristics such as sizes, extent, location, margins, interior, as well as general findings, such as enhancement patterns, fibroglandular tissue density, cysts, lymph nodes, and likelihood of malignancy. Three Mammogram Report forms (to

allow multiple readers) and one Pathology Report Form are also included. The study coordinator performs all data entry, except for direct entry of MRI report form.

- An Image Analysis software program was designed and built to allow data to be analyzed and reports generated in a timely manner following each patient exam. Immediately following each patient exam, data are transferred off-line to a UNIX workstation. The analysis program is built in the Interactive Display Language (IDL) programming environment. Functionality includes: maximum intensity projection views, quick review and paging of volume data (180 images: 60-section volumes, 3 time points), transfer between spatial and temporal modes for analysis of anatomic and kinetic features, tumor size and volume, PE and SER region-of-interest analysis, pathology comparisons and generation of hardcopy records. Examples are included in the Appendix.
- Tissue Tracking and Histopathology Correlation Procedures were developed to increase the accuracy of MRI/pathology correlation. Specifically, a surgical fellow reviews the results of each MRI study with an MRI researcher prior to the patient's surgery date. The surgical fellow attends surgery and assists in specimen labeling, transports the specimen to pathology, assists the pathologist with orienting, sectioning and labeling the specimen. Pertinent information and illustrative diagrams are included on a tissue tracking form (example in the Appendix) which is generated at the time of patient enrollment and accompanies the patient to surgery, and the specimen to pathology. Placement of the surgical incision and size and location of the removed specimen are recorded on the tissue tracking form. Finally, correlating MRI and pathology sections are agreed upon by the surgical fellow and MRI researcher corresponding to the central sagittal cut of the specimen, sections corresponding to the major pathologic diagnoses and sections corresponding to the most significant MRI findings. H&E and CD34 microvessel staining are performed for all selected pathology sections.

CONCLUSIONS

Our results to-date indicate that MRI is superior to mammography in defining the extent of malignant lesions and may provide very valuable information for pre-operative planning and single stage resection in breast cancer. Our initial findings correlating SER to tumor grade and microvessel density, both markers for patient prognosis, suggest that MRI can provide a non-invasive assessment that may help stratify patients pre-operatively and decide the most appropriate treatment options. As this study continues, we expect to see greater accuracy as a result of improved procedures for MRI/histopathology correlation.

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APPENDICES:

- A) Example: MRI/Pathology Comparison Record
- B) Example: Breast Tissue Tracking Form

Pathology Comparison

Patient: _5154 Left Breast MRI Date: 03-20-1997 Time 2 Cranio-Caudal Antero-Posterior Latero-Medial L M M Time 3 Sagittal Time 2 Sagittal Time 1 Sagittal Zoomed x 8 SER: 1.46 PE: 121 Count 1,2,3: 16, 16, 19 Sagittals slice #s: 34, 35, 37;(135, 138, 142) Resolution (mm): 0.703125 ROI Mean, Sdev, Max: ROI1: 78.7500, 6.01664, 87 ROI2: 174.062, 5.01290, 181 ROI3: 143.842, 4.28516, 151

Comments:

BREAST TISSUE TRACKING FORM

	NAME:		Tissue Banking:	
	DATE: 9/ MED#:	4/97	MRI Case:	d
		Right O	Left	Between 2-3 O/cla. 3 cm × 3 cm Palpalik lesion 3×3 cm
SAM	3 2	MEN SKETCH (include location of stape) MEN SKETCH (include location of stape)	les, central cut):	
	CORRESPON	NDING CASSETTES (Mark on above sk	etch):	
	SIZE:	Tumor: 3°×3 em		
		Specimen: 3.5 x 3.0 x 1.7	Cm	
		Skin: No Excession		,
	SPECIMEN]	RADIOGRAPH PERFORMED:	Yes	⊠ No
	COMMENTS	S:	10	